



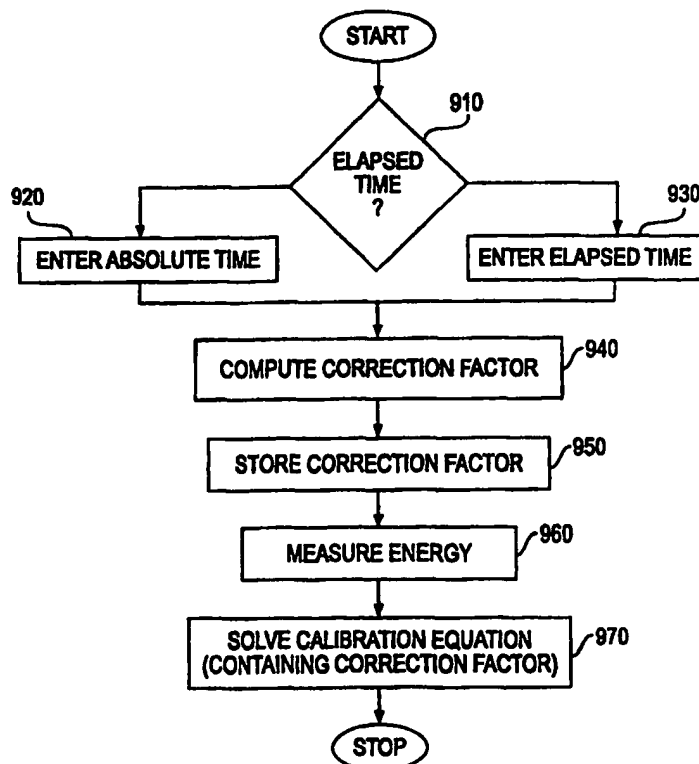
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A61B 5/00</b>	<b>A1</b>	(11) International Publication Number: <b>WO 99/56616</b> (43) International Publication Date: 11 November 1999 (11.11.99)
(21) International Application Number: PCT/US99/09571 (22) International Filing Date: 3 May 1999 (03.05.99) (30) Priority Data: 09/073,941          6 May 1998 (06.05.98)          US (71) Applicant: FUTREX, INC. [US/US]; Suite 720, 6 Montgomery Village Avenue, Gaithersburg, MD 20879 (US). (72) Inventor: ROSENTHAL, Robert, D.; 9805 Hollowel Place, Gaithersburg, MD 20879 (US). (74) Agents: NEWLAND, Bart, G. et al.; Rothwell, Figg, Ernst & Kurz, Suite 701 East, Columbia Square, 555 13th Street, N.W., Washington, DC 20004 (US).	(81) Designated States: AU, CA, JP, MX, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  Published With international search report.	

(54) Title: NON-INVASIVE MEASUREMENT OF BLOOD GLUCOSE

## (57) Abstract

A method, and apparatus are disclosed for improving an accuracy characteristic of a quantitative measurement instrument for measuring a blood analyte concentration by using lower precision sensor devices. One embodiment of the method comprises calculating a correction factor (940) based on an elapsed time (910, 920, 930) from a last meal, a substantially typical rise, and fall pattern of blood analyte concentrations in the bloodstream. The correction factor (940) is part of a regression analysis equation employed by the blood analyte measurement instrument (970). An apparatus for performing the measurements is also taught. A second embodiment comprises a method whereby a series of indicator variables are allocated based on the elapsed time from a last meal. A third embodiment comprises a method employing division derivative math in a regression analysis equation.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**NON-INVASIVE MEASUREMENT OF BLOOD GLUCOSE****BACKGROUND OF THE INVENTION**

## 5        1. Field of the Invention

The present invention relates to instruments and methods for the non-invasive quantitative measurement of blood analytes. More specifically, this invention relates to a new and improved method of increasing an accuracy characteristic for a  
10 quantitative measurement instrument, allowing the quantitative measurement instrument to have a less precise detection capability.

## 2. Description of Background Art

Information concerning the chemical composition of blood is  
15 widely used to assess the health characteristics of both people and animals. For example, analysis of the glucose content of blood provides an indication of the current status of metabolism. Blood analysis, by the detection of above or below normal levels of various substances, also provides a direct indication of the  
20 presence of certain types of diseases and dysfunctions.

Persons that have diabetes have a common characteristic in that their bodies have difficulty maintaining reasonable blood glucose levels during various times of the day. In order to allow for survival and avoid long-term complications, most  
25 persons with diabetes take medication in an attempt to keep their blood glucose at a reasonably constant level.

The variation in blood glucose levels is a function of many different parameters, such as eating habits, medication, stress, exercise level, etc. The two most common causes of variations in blood glucose levels in persons with diabetes are meals and  
5 diabetes medication.

Several methods exist for measuring blood glucose levels. One method is to obtain a blood sample from the patient. The blood sample is placed on a chemically treated carrier and is inserted into an instrument. The instrument analyzes the blood  
10 sample and provides a blood glucose level reading in a short period of time. However, the blood sample method has drawbacks, including the painful and invasive nature of the test, which provides an avenue for infection.

An alternative measurement method is the near-infrared  
15 quantitative analysis instrument which non-invasively measures blood analytes. Non-invasive quantitative measurement instruments are generally known. See U.S. Patent Nos. 5,086,229; 5,028,787; 5,077,476; 5,218,207; and 5,703,364. Non-invasive measurement is ideal for persons who must regularly monitor their  
20 blood glucose. The non-invasive blood glucose measurement instrument analyzes near-infrared energy following interactance with venous or arterial blood, or transmission through a blood-containing body part. These instruments give accurate blood glucose level readings and readily lend themselves to at-home  
25 testing by diabetics. Typically, the measurement is performed on a finger of the subject.

A limitation of the near-infrared blood glucose measurement instruments has been that near-infrared quantitative measurement instruments must be highly precise in their measurement capabilities. Since the amount of glucose in the body is less  
5 than one thousandth of the total constituents, variations of the constituents which exist among different people has in the past made highly precise instruments necessary in order to obtain an accurate measurement.

In addition to the near-infrared technology, there are other  
10 technologies that have promise for measurement of blood glucose, such as radio-frequency technology. Such devices are less expensive than near-infrared quantitative measurement devices, however, radio-frequency measurement devices do not provide reasonable precision and are therefore lacking.

15 Thus, there is a need for accurate non-invasive measurement of blood analytes when using technology that does not provide precise direct measurement.

#### SUMMARY OF THE INVENTION

20 In accordance with the present invention, a method is disclosed for improving the accuracy characteristic of a quantitative measurement instrument for the measurement of a blood analyte concentration when using less precise sensor instrumentation. The method according to the first embodiment of  
25 the present invention comprises adding a correction factor to the regression analysis equation. The regression analysis equation

is used by the quantitative measurement instrument to obtain a predicted level of the blood analyte concentration. The correction factor uses the elapsed time from a last meal and the general blood analyte trend to produce a correction factor based on the elapsed time.

In another embodiment of the present invention, a second form of regression analysis equation having indicator variables is used by the quantitative measurement instrument to obtain a predicted level of the blood analyte concentration. The second embodiment employs nine indicator variables, allocated on the basis of the time elapsed since the last meal and the general blood analyte trend.

In yet another embodiment of the present invention, division derivative math is employed in the regression analysis equation to obtain a predicted level of the blood analyte. One or two division derivative terms may be used, based on the linearity of the blood analyte level concentration.

In yet another embodiment, a quantitative measurement instrument for non-invasive measurement of a blood analyte concentration is given, comprising an introducing means including an energy source for introducing an energy into blood present in a body part of a subject, a detector circuit means for detecting the energy emerging from the body part, with the detector circuit means producing a first electrical signal indicative of the emerging energy, an input means for entering a time since a last meal of the subject, a storage means for storing a correction

factor, and a processing means for processing the first electrical signal by using a regression equation and the correction factor to yield a predicted value of the blood analyte concentration, with the correction factor increasing an accuracy characteristic of the quantitative measurement instrument.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows a graph of a typical blood glucose concentration over a period of time;

10 Fig. 2 shows the blood glucose fall rate measured in test subjects;

Fig. 3 shows the blood glucose rise rate measured in test subjects;

15 Fig. 4 shows a comparison of an un-aided near-infrared instrument to a laboratory measurement;

Fig. 5 shows a comparison of a near-infrared instrument aided by a first embodiment of the present invention to a laboratory measurement;

20 Fig. 6 shows a comparison of a near-infrared instrument aided by a second embodiment of the present invention to a laboratory measurement;

Figs. 7A, 7B, and 7C are graphical representations of the division derivative math embodiment of the present invention;

25 Fig. 8 shows an exemplary embodiment of a quantitative measurement instrument of the present invention; and

Fig. 9 shows a flowchart 900 illustrating the operation of the present invention.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

30 Fig. 1 is a graph of blood glucose levels in a bloodstream measured over a period of 270 minutes. The graph shows a peak blood glucose level occurring at approximately 20 minutes after the start of measurement. The early peak is representative of a

bolus of dextrose given to some of the test subjects at the start of the test in order to drive their blood glucose to rather high levels. The blood glucose level thereafter decreases at a substantially steady rate until a time of approximately 220 minutes. The decreasing portion of the graph represents the absorption of blood glucose into the bloodstream from the digestive tract, and shows the decrease of blood glucose levels as the blood glucose is consumed by the body. The test subjects were given insulin at varied times during the decreasing blood glucose period. At a time of approximately 220 minutes, the graph shows an increase of blood glucose levels due to a consumption of a meal. A meal is defined as an intake of nutrients that causes a rise in a blood analyte, such as glucose, of at least 50 mg/dL in two hours. The blood glucose level increases at a substantially steady rate following the meal. The graph represents a typical blood glucose response, and is not the response of any particular person. The graph was constructed from tests performed at the National Institutes of Health (NIH) Clinical Center on ten test subjects having diabetes. The test data shows typical trends in blood glucose levels before and after a meal. This predictable and substantially constant blood glucose response can be used as an aid in predicting and measuring blood glucose levels with a non-invasive quantitative measurement instrument. The graph shows that the rate of decrease of blood glucose level is about one half the rate of increase of blood glucose level.

Fig. 2 is a bar graph of the decreasing blood glucose trends after a peak has occurred. The data was collected using twelve test subjects, labeled A through L. Ten of the twelve test subjects were the ten test subjects from the NIH depicted in the previous graph. The graph shows an average slope of a negative 1.2 mg/dL per minute for the decreasing portion of blood glucose levels. The data in the graph represents the decreasing blood



glucose levels period in the graph of Fig. 1, from approximately 20 minutes to approximately 220 minutes.

Fig. 3 is a bar graph of the increasing blood glucose trends after a meal was ingested. The data was collected using seven of the twelve test subjects used in the above graph. The graph shows an average slope of a positive 2.4 mg/dL per minute for the increasing portion of the graph, from approximately 220 minutes to approximately 270 minutes.

Fig. 4 is a graph representing the accuracy of a low precision un-aided quantitative measurement instrument, such as a non-invasive near-infrared instrument of the prior art using lower precision sensors. By un-aided, it is meant that the instrument does not employ the method of the present invention in order to improve accuracy. The graph depicts the predicted values of such an un-aided near-infrared instrument on the vertical axis versus laboratory blood glucose measurements from blood samples on the horizontal axis. The laboratory blood glucose measurements are used as an accuracy standard. The purpose of the graph is an accuracy comparison, where a perfect agreement between the two types of measurements would fall on the diagonal line of the graph. The graph shows a correlation of 0.462 between the un-aided measurement instrument and the laboratory measurements from blood samples. This is not a satisfactory accuracy level for blood analyte measurement.

A typical prior art near-infrared quantitative measurement instrument produces a measurement by employing a regression analysis equation to arrive at a predicted value in mg/dL. The regression analysis equation is typically of the form:

$$\text{mg/dL} = C_0 + C_1 \cdot \text{OD}_1 + \dots + C_n \cdot \text{OD}_n + C_{n+1} \cdot T_{\text{SYSTEM}} + C_{n+2} \cdot T_{\text{DETECTOR}} + C_{n+3} \cdot T_{\text{BODY}} \quad (1)$$

In equation (1), the C values  $C_0$  through  $C_{n+3}$  are calibration constants. The OD values  $\text{OD}_1$  through  $\text{OD}_n$  are given by  $\text{Log } 1/I_n$  at the various wavelengths, where  $I_n$  is equal to the period of the near-infrared light as determined by the wavelength "n" employed by the instrument. Multiple wavelengths of light "n" may be used

in performing a measurement of optical energy absorbed by the body part under test.  $T_{\text{SYSTEM}}$  is the temperature of the optical illumination system,  $T_{\text{DETECTOR}}$  is the temperature of the optical detector, and  $T_{\text{BODY}}$  is the temperature of the body part being used for the blood glucose measurement, one or more of which may be omitted from the equation.

A typical prior art near-infrared quantitative measurement instrument generates a predicted blood glucose measurement value by directing optical energy through a body part, typically a finger. A receiver captures the optical energy that successfully passes through the body part, with the receiver being capable of measuring the received optical energy. The instrument also measures the temperatures of the optical illumination system ( $T_{\text{SYSTEM}}$ ), the optical detector ( $T_{\text{DETECTOR}}$ ), and the body part ( $T_{\text{BODY}}$ ). The instrument calculates the OD values for all of the optical wavelengths employed by the instrument. The regression analysis equation (1) is then multiplied out using the above terms to give the predicted value of the blood glucose level.

Equation (1) requires that the measurement system be extremely stable in order to measure the very low concentration of glucose that is present in the bloodstream. To successfully use equation (1) requires a measurement system with Log I/I repeatability errors of less than 0.001. To achieve such precision, when measuring a human subject, requires a very precise optical instrument.

Fig. 5 is a graph representing the accuracy of a quantitative measurement instrument employing a first embodiment of the present invention. To improve the accuracy of an instrument with less precise optics, the a priori knowledge that blood glucose increases for about two hours after meals, at a rate that is approximately twice the rate that it decreases through the administration of medication, allows an additional regression term to be included with the previously described

equation. The graph depicts the predicted values of the first embodiment on the vertical axis versus the laboratory blood glucose measurements from blood samples on the horizontal axis. The graph shows a correlation of 0.832 between the first  
5      embodiment and laboratory measurements. This is of a sufficient level of accuracy to allow the use of simpler, less precise optics in the measurement instrument. In addition, a segmental calibration technique can be employed in conjunction with the present invention to provide optimal accuracy of the instrument.  
10     The segmental calibration technique is described in detail in U.S. Patent No. 5,068,536 to Rosenthal, the disclosure of which is incorporated herein by reference.

The present invention also uses a regression analysis equation to produce a predicted value, with the addition of a  
15      $C_{n+1} * J$  term to the regression analysis equation, where:

$$J = (2 - t_{LM}) / A. \quad (2)$$

This J term equation (2) is based on the knowledge that the peak blood glucose value for an individual occurs at a reasonably constant time (e.g. approximately two hours) after a meal. The J  
20     term equation (2) assumes that the rise rate of blood glucose is twice as high as the fall rate. Here  $t_{LM}$  is the number of hours since the last meal. The A term is one of two values; a positive two if  $(2 - t_{LM})$  is a positive number, or a negative one if  $(2 - t_{LM})$  is a negative number.

25     In the method of the first embodiment, the quantitative measurement instrument generates a predicted blood glucose measurement value by directing a form of energy through a body part, typically a finger. In a preferred embodiment, the energy is near-infrared optical energy, although a person skilled in the  
30     art will recognize that other types of energy, such as radio-frequency energy, may also be used to perform the measurement. A receiver captures the optical energy that successfully passes through the body part, with the receiver being capable of

measuring the received optical energy. The instrument also measures the temperatures of the optical illumination system ( $T_{\text{SYSTEM}}$ ), the optical detector ( $T_{\text{DETECTOR}}$ ), and the body part ( $T_{\text{BODY}}$ ). As discussed above, one or more of these temperature measurements may be omitted. The instrument calculates the OD values for all of the optical wavelengths employed by the instrument, and inserts the variables in the J term equation (2) to complete the regression analysis equation. The regression analysis equation (1) is then multiplied out using the J term equation (2) to give the predicted value of blood glucose concentration.

Two limitations exist with this approach. The first limitation is the possible inaccuracy if a measurement is performed following an overnight fast, such as prior to breakfast. Then a factor of about 1.6 should be used in place of the calculated J term equation (2). The second limitation is the possible inaccuracy if a measurement is performed an extended time after a meal, such as more than about six hours after a meal. For this case the result of the J term equation (2) is limited to a maximum of about four.

Fig. 6 is a graph representing the accuracy of a quantitative measurement instrument employing a second embodiment of the present invention. The graph depicts the predicted values of the second embodiment on the vertical axis versus the laboratory blood glucose measurements from blood samples on the horizontal axis. The graph shows a correlation of 0.936 between the second embodiment and laboratory measurements. This high level of accuracy allows the use of simpler, less precise optics in the instrument.

In a second embodiment, the measurement instrument uses indicator variable math in a regression analysis equation to obtain a predicted blood glucose value in mg/dL, where:

$$\text{mg/dL} = K_0 + K_1 \cdot \text{OD}_1 + \dots + K_{14} \cdot \text{OD}_{14} + K_{15} \cdot X_0 + K_{16} \cdot X_1 + K_{17} \cdot X_2 + K_{18} \cdot X_3 +$$

$$+ K_{19} * X_4 + K_{20} * X_5 + K_{21} * X_6 + K_{22} * X_7 + K_{23} * X_8. \quad (3)$$

In equation (3) the K values  $K_0$  through  $K_{23}$  are calibration constants, and one or more of the above temperature measurements also may be included. Fourteen wavelengths are given as an example, but more or less than fourteen may be employed. The OD values  $OD_1$  through  $OD_{14}$  are given by  $\text{Log } I/I_0$  at the various wavelengths. Multiple wavelengths of light may be used in performing a measurement of optical energy absorbed by the body part under test. The variables  $X_0$  through  $X_8$  are the indicator groups derived from the time of the last meal. The indicator  $X_0$  is given a value of one if the measurement was taken prior to a breakfast meal (i.e., after an overnight fast), and a value of zero otherwise. The indicator  $X_1$  is given a value of one if the measurement was taken in a period of zero to one half hours after a meal, and a value of zero otherwise. The indicators  $X_2$  and  $X_3$  are given a collective value of one if the measurement was taken in a period between one half to one and a half hours after a meal, and are both given a value of zero otherwise. The division of the collective value between the two indicators will be determined via linear interpolation. The interpolation divides the collective value of one between the two indicators according to where the elapsed time since the last meal falls within the time range of the two indicator variables. For example, if a measurement was performed at 1.1 hours after a meal, a value of 0.40 will be entered into  $X_2$  and 0.60 into  $X_3$ . The indicators  $X_4$  and  $X_5$  are given a collective value of one if the measurement was taken in a period between one and a half to two and a half hours after a meal, and are both given a value of zero otherwise. The indicators  $X_6$  and  $X_7$  are given a collective value of one if the measurement was taken in a period between two and a half to three and a half hours after a meal, and are both given a value of zero otherwise. The indicators  $X_8$  and  $X_9$  are given a collective value

of one if the measurement was taken in a period between three and a half to four and a half hours after a meal, and are both given a value of zero otherwise. The indicators  $X_6$  and  $X_7$  are given a collective value of one if the measurement was taken in a period  
5 between four and a half to five and a half hours after a meal, and are both given a value of zero otherwise. The indicators  $X_7$  and  $X_8$  are given a collective value of one if the measurement was taken in a period between five and a half to six and a half hours after a meal, and are both given a value of zero otherwise. The  
10 indicator  $X_8$  is given a value of one if the measurement was taken more than six and a half hours after a meal, and is given a value of zero otherwise.

In the method of the second embodiment, the quantitative measurement instrument generates a predicted blood glucose  
15 measurement value by directing a form of energy through a body part, typically a finger. In a preferred embodiment, the energy is near-infrared optical energy, although a person skilled in the art will recognize that other types of energy, such as radio-frequency energy, may also be used to perform the measurement.  
20 The instrument measures the energy that passes through the body part. The OD values are calculated for all of the optical wavelengths employed by the instrument, and the time since the last meal is calculated. The indicators  $X_0$  through  $X_8$  are then calculated based on the time since the last meal, with  
25 interpolation used to calculate the values for the paired indicators. The regression analysis equation is then multiplied out using the above terms to give the predicted value of the blood glucose level.

To calibrate an instrument that uses the indicator variables  
30 method, a two-step calibration process may be used. First, a regression analysis is performed using the OD optical terms (e.g.,  $\log I/I_1$  through  $\log I/I_4$ ). Second, the optical error is derived by predicting a blood glucose value for each calibration

reading at a given wavelength by using the calibration constants derived from the calibrations, using only the optical terms. The predicted value for each sample is then subtracted from the laboratory-measured value of that sample. The result is the optical error corresponding to the laboratory sample. The optical errors for all of the calibration samples are placed into a file that becomes the dependent variable for a multiple linear regression analysis, while the independent variables are the nine indicator variables that have been previously described. The resulting calibration constants are then added to the calibration constants previously derived from the optical terms, and become the final calibration constants  $K_0$  through  $K_{23}$ . Using this two-step approach allows the optical information to provide the maximum amount of predictive value.

In a third embodiment of the invention, the relative size of the meal is taken into account through a regression analysis equation employing meal indicator variables. This embodiment gives weight to a meal base on its size. The third embodiment employs dual calibration constants to weight the size of the meal, where:

$$\begin{aligned} \text{mg/dL} = & K_0 + K_1 \cdot \text{OD}_1 + \dots + K_{14} \cdot \text{OD}_{14} + K_{15} \cdot M_{15} \cdot C_{15} \cdot R_{15} + K_{16} \cdot M_{16} \cdot C_{16} \cdot R_{16} + \\ & \dots + K_{22} \cdot M_{22} \cdot C_{22} \cdot R_{22} + K_{23} \cdot T_{23} + K_{24} \cdot T_{24}. \end{aligned} \quad (4)$$

In equation (4) the K values  $K_0$  through  $K_{23}$  again are calibration constants. The T terms are the previously defined temperature terms  $T_{\text{SYSTEM}}$ ,  $T_{\text{DETECTOR}}$ , and  $T_{\text{BODY}}$  combined into one. The OD values  $\text{OD}_1$  through  $\text{OD}_{14}$  are given by Log I at various wavelengths. The variable R is the relative caloric value of the meal. The constants  $C_{15}$  through  $C_{22}$  are calibration constants that are also dependent on the caloric value of the meal. The variables  $M_{15}$  through  $M_{22}$  are the meal indicator values similar to the indicator variables  $X_0$  through  $X_8$ , with their values given as explained with reference to the second embodiment.

In operation, a person will enter a relative size of the last meal into the device (e.g., the size R would be a 1 for a very light meal and a 10 for an extremely heavy meal). The dual calibration constants K and C can then be solved by an iterative process. First, the terms containing the C values and the R values are held equal to 1 while calculating the optimum K values. Second, for the entered value of R and the produced K values a new set of C values are calculated using multiple linear regression. Third, the K values are recalculated while holding the values of C and R constant. This iteration process is continued until there is no more significant change in the C or K values.

A fourth embodiment of the present invention employs division derivative math to produce a predicted value of the blood glucose concentration. If the division derivative math is linearly related to the blood glucose concentration, then the previously described fourteen optical regression terms can be replaced by a single regression term. This is illustrated by Fig. 7A, where:

$$\begin{aligned} \text{mg/dL} &= (dOD_1) / (dOD_2) = (d \log 1/T_1) / (d \log 1/T_2) = \\ &= (\log 1/T_B - \log 1/T_A) / (\log 1/T_E - \log 1/T_D). \end{aligned} \quad (5)$$

Similarly, a single term using second derivative math can be used. This is illustrated in Fig. 7B, where:

$$\begin{aligned} \text{mg/dL} &= (d^2 OD_1) / (d^2 OD_2) = (d^2 \log 1/T_1) / (d^2 \log 1/T_2) = \\ &= (\log 1/T_A - 2\log 1/T_B + \log 1/T_C) / (\log 1/T_D - 2\log 1/T_E + \log 1/T_F) \end{aligned} \quad (5A)$$

The single regression term division derivative (4) above is suitable for a limited range of blood glucose concentrations, e.g., concentrations in the range of 40 to 120 mg/dL. Division



derivative math allows accurate prediction of blood glucose concentrations even when significantly extrapolated outside the blood glucose calibration range.

5 If the division derivative math is non-linearly related to blood glucose levels, then two term division derivative math must be used. These terms may be either first derivative or second derivative math. The second derivative two term division is illustrated in Fig. 7C where:

$$\begin{aligned}
 10 \quad \text{mg/dL} &= ((d^2 \text{OD}_1) / (d^2 \text{OD}_2)) + ((d^2 \text{OD}_3) / (d^2 \text{OD}_4)) = \\
 &= (d^2 \text{Log } 1/T_1) / (d^2 \text{Log } 1/T_2) + (d^2 \text{Log } 1/T_3) / (d^2 \text{Log } 1/T_4) \\
 &= (\text{Log } 1/T_A - 2\text{Log } 1/T_B + \text{Log } 1/T_C) / (\text{Log } 1/T_D - 2\text{Log } 1/T_E + \\
 &\text{Log } 1/T_F) + (\text{Log } 1/T_G - 2\text{Log } 1/T_H + \text{Log } 1/T_I) / (\text{Log } 1/T_J - 2\text{Log } 1/T_K + \\
 &\text{Log } 1/T_L). \quad (6)
 \end{aligned}$$

15

The two regression term division derivative (6) above is suitable for an extended range of blood glucose concentrations, e.g. concentrations in the range of 40 to 400 mg/dL. The two regression term division derivative provides advantages similar to the single term division derivative math.

20

Fig. 8 shows an exemplary embodiment of a quantitative measurement instrument 800 of the present invention having an improved accuracy characteristic. The quantitative measurement instrument 800 comprises an opening 810 for a body part, an introducing means 820 for introducing energy into the blood present in a body part of the subject, a detector circuit means 830 for detecting the energy emerging from the body part and producing an electrical signal indicative of the energy emerging from the body part, an input means 840 for entering the time since the last meal, a storage means 850 for storing the correction factor, and a processing means 860.

25

30

In operation, the subject inserts a body part into the opening 810, preferably a finger. The introducing means 820

directs energy through the body part. In the preferred embodiment, the energy comprises near-infrared energy, but alternatively the quantitative measurement instrument 800 may employ other sensor technologies. The detector circuit means 830 receives the energy emerging from the body part and generates an electrical signal indicative of the quantity of emerging energy. The input means 840 is used to input the time since the last meal of the test subject. The processing means 860 receives the time since the last meal and uses it to create the correction factor, which is stored in the storage means 850. The processing means 860 also receives the electrical signal from the detector circuit means 830. The processing means 860 uses the regression equation, in conjunction with the correction factor, to yield a predicted value of the blood analyte concentration.

Fig. 9 shows a flowchart 900 illustrating the operation of the present invention. In step 910 the quantitative measurement instrument 800 prompts the subject to enter the time since the last meal, entered via the input means 840. The subject can enter the time from the last meal in two ways. In step 920, the subject may enter the time as an absolute time (i.e., 12:00 pm), whereupon the processing means 860 computes the elapsed time from the last meal. Alternatively, in step 930, the subject may directly enter the elapsed time from the last meal. In step 940 the processing means 860 computes the correction factor using the elapsed time from the last meal. In step 950 the processing means 860 stores the computed correction factor in the storage means 850. In step 960 the quantitative measurement instrument 800 measures the energy emerging from the body part of the subject. In step 970 the processing means 860 uses a calibration equation with constants that were derived from prior multiple regression analysis or other mathematical analysis factors (partial least squares, etc.), and generates a regression equation indicative of the energy emerging from the body part.

While the invention has been disclosed in detail above, the invention is not intended to be limited strictly to the invention as disclosed. It is evident that those skilled in the art may now make numerous uses and modifications of and departures from the specific embodiments described herein without departing from the inventive concepts.

What is claimed is:

1        1. A method for increasing an accuracy characteristic of a  
2        quantitative measurement instrument for measuring a blood analyte  
3        concentration, said method comprising:

4        obtaining an energy absorption measurement through a body  
5        part of an individual;

6        determining an elapsed time since a last intake of nutrients  
7        by said individual which results in an increase of said blood  
8        analyte of at least a minimum predetermined amount;

9        calculating a correction factor based on said elapsed time  
10       from said last meal; and

11       adding said correction factor to a regression analysis  
12       equation, said regression analysis equation yielding a predicted  
13       value of said blood analyte concentration.

1       2. The method of claim 1, wherein said blood analyte  
2       concentration is a blood glucose concentration.

1       3. The method of claim 1, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       near-infrared optical absorption measurement.

1       4. The method of claim 1, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       mid-infrared optical absorption measurement.

1           5. The method of claim 1, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       far-infrared optical absorption measurement.

1           6. The method of claim 1, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       radio-frequency absorption measurement.

1           7. The method of claim 1, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       dermal patch.

1           8. The method of claim 1, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       interstitial fluid.

1           9. The method of claim 1, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using  
3       chemical iontophoresis.

1           10. The method of claim 1, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using  
3       heat iontophoresis.

- 1           11. The method of claim 1, wherein the step of obtaining  
2           said energy absorption measurement comprises the step of using  
3           electrical iontophoresis.
- 1           12. The method of claim 1, wherein the step of obtaining  
2           said energy absorption measurement comprises the step of using a  
3           subcutaneous biosensor.
- 1           13. The method of claim 1, wherein the step of obtaining  
2           said energy absorption measurement comprises the step of using a  
3           measurement from a capillary in an eye.
- 1           14. The method of claim 1, wherein said correction factor  
2           has a rate of change represented by a multiplier of about  
3           positive two for a time period extending from said meal until  
4           about two hours after said meal.
- 1           15. The method of claim 1, wherein said correction factor  
2           has a rate of change represented by a multiplier of about  
3           negative one for a time period extending from about two hours  
4           after said meal onward.
- 1           16. The method of claim 1, wherein said correction factor  
2           is set at about one and sixth tenths if a measurement is a first  
3           measurement following an overnight fast.

1           17. The method of claim 1, wherein said correction factor  
2           is limited to a maximum value of about four for measurements made  
3           greater than about six hours after said meal.

1           18. A method for increasing an accuracy characteristic of a  
2           quantitative measurement instrument for measuring a blood analyte  
3           concentration, said method comprising:  
4           obtaining an energy absorption measurement through a body  
5           part of an individual;  
6           determining an elapsed time since a last meal; and  
7           allocating a plurality of indicator variables to form a  
8           regression analysis equation based on said elapsed time since  
9           said last meal, said regression analysis equation yielding a  
10          predicted value of said blood analyte concentration.

1           19. The method of claim 18, wherein said blood analyte  
2           concentration is a blood glucose concentration.

1           20. The method of claim 18, wherein the step of obtaining  
2           said energy absorption measurement comprises the step of using a  
3           near-infrared optical absorption measurement.

1           21. The method of claim 18, wherein the step of obtaining  
2           said energy absorption measurement comprises the step of using a  
3           mid-infrared optical absorption measurement.

1           22. The method of claim 18, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       far-infrared optical absorption measurement.

1           23. The method of claim 18, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       radio-frequency absorption measurement.

1           24. The method of claim 18, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       dermal patch.

1           25. The method of claim 18, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       interstitial fluid.

1           26. The method of claim 18, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using  
3       chemical iontophoresis.

1           27. The method of claim 18, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using  
3       heat iontophoresis.



1           28. The method of claim 18, wherein the step of obtaining  
2           said energy absorption measurement comprises the step of using  
3           electrical iontophoresis.

1           29. The method of claim 18, wherein the step of obtaining  
2           said energy absorption measurement comprises the step of using a  
3           subcutaneous biosensor.

1           30. The method of claim 18, wherein the step of obtaining  
2           said energy absorption measurement comprises the step of using a  
3           measurement from a capillary in an eye.

1           31. The method of claim 18, wherein a first indicator  
2           variable is assigned a value of one if said energy absorption  
3           measurement is obtained after a period of fasting, said first  
4           indicator variable is assigned a value of zero otherwise.

1           32. The method of claim 18, wherein a second indicator  
2           variable is assigned a value of one if said energy absorption  
3           measurement is obtained within about one half hour of said meal,  
4           said second indicator variable is assigned a value of zero  
5           otherwise.

1           33. The method of claim 18, wherein third and fourth  
2 indicator variables are assigned a collective value of one if  
3 said energy absorption measurement is obtained between about one  
4 half and about one and a half hours after said meal, with a  
5 division of said collective value between said third and fourth  
6 indicator variables being determined by linear interpolation,  
7 said third and fourth indicator variables are assigned a value of  
8 zero otherwise.

1           34. The method of claim 18, wherein fourth and fifth  
2 indicator variables are assigned a collective value of one if  
3 said energy absorption measurement is obtained between about one  
4 and a half and about two and a half hours after said meal, with a  
5 division of said collective value between said fourth and fifth  
6 indicator variables being determined by linear interpolation,  
7 said fourth and fifth indicator variables are assigned a value of  
8 zero otherwise.

1           35. The method of claim 18, wherein fifth and sixth  
2 indicator variables are assigned a collective value of one if  
3 said energy absorption measurement is obtained between about two  
4 and a half and about three and a half hours after said meal, with  
5 a division of said collective value between said fifth and sixth  
6 indicator variables being determined by linear interpolation,  
7 said fifth and sixth indicator variables are assigned a value of  
8 zero otherwise.

1           36. The method of claim 18, wherein sixth and seventh  
2 indicator variables are assigned a collective value of one if  
3 said energy absorption measurement is obtained between about  
4 three and a half and about four and a half hours after said meal,  
5 with a division of said collective value between said sixth and  
6 seventh indicator variables being determined by linear  
7 interpolation, said sixth and seventh indicator variables are  
8 assigned a value of zero otherwise.

1           37. The method of claim 18, wherein seventh and eighth  
2 indicator variables are assigned a collective value of one if  
3 said energy absorption measurement is obtained between about four  
4 and a half and about five and a half hours after said meal, with  
5 a division of said collective value between said seventh and  
6 eighth indicator variables being determined by linear  
7 interpolation, said seventh and eighth indicator variables are  
8 assigned a value of zero otherwise.

1           38. The method of claim 18, wherein eighth and ninth  
2 indicator variables are assigned a collective value of one if  
3 said energy absorption measurement is obtained between about five  
4 and a half and about six and a half hours after said meal, with a  
5 division of said collective value between said eighth and ninth  
6 indicator variables being determined by linear interpolation,  
7 said eighth and ninth indicator variables are assigned a value of  
8 zero otherwise.

1           39. The method of claim 18, wherein a ninth indicator  
2 variable is assigned a value of one if said energy absorption  
3 measurement is obtained after about six and a half hours after  
4 said meal, said ninth indicator variable is assigned a value of  
5 zero otherwise.

1           40. A method for increasing an accuracy characteristic of a  
2 quantitative measurement instrument for measuring a blood analyte  
3 concentration, said method comprising:

4           obtaining an energy absorption measurement through a body  
5 part of an individual;

6           forming a regression analysis equation using division  
7 derivative math, said regression analysis equation yielding a  
8 predicted value of said blood analyte concentration.

1           41. The method of claim 40, wherein said blood analyte  
2 concentration is a blood glucose concentration.

1           42. The method of claim 40, wherein the step of obtaining  
2 said energy absorption measurement comprises the step of using a  
3 near-infrared optical absorption measurement.

1           43. The method of claim 40, wherein the step of obtaining  
2 said energy absorption measurement comprises the step of using a  
3 mid-infrared optical absorption measurement.

1           44. The method of claim 40, wherein the step of obtaining  
2 said energy absorption measurement comprises the step of using a  
3 far-infrared optical absorption measurement.

1           45. The method of claim 40, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       radio-frequency absorption measurement.

1           46. The method of claim 40, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       dermal patch.

1           47. The method of claim 40, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       interstitial fluid.

1           48. The method of claim 40, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using  
3       chemical iontophoresis.

1           49. The method of claim 40, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using  
3       heat iontophoresis.

1           50. The method of claim 40, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using  
3       electrical iontophoresis.

1           51. The method of claim 40, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       subcutaneous biosensor.

1           52. The method of claim 40, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       measurement from a capillary in an eye.

1           53. The method of claim 40, wherein said division  
2       derivative math uses one term.

1           54. The method of claim 40, wherein said division  
2       derivative math uses two terms.

1           55. A quantitative measurement instrument for non-invasive  
2       measurement of a blood analyte concentration, comprising:  
3           introducing means including an energy source for introducing  
4       an energy into blood present in a body part of a subject;  
5           detector circuit means for detecting said energy emerging  
6       from said body part, said detector circuit means producing a  
7       first electrical signal indicative of said energy emerging from  
8       said body part;  
9           input means for entering a time from a last meal of said  
10      subject;

1 storage means for storing a regression equation and a  
2 correction factor, said correction factor having a value  
3 dependent on said entered time from a last meal; and  
4 processing means for processing said first electrical signal  
5 by using said regression equation and said correction factor to  
6 yield a predicted value of said blood analyte concentration, with  
7 said correction factor increasing an accuracy characteristic of  
8 said quantitative measurement instrument.

1 56. The quantitative measurement instrument of claim 55,  
2 wherein said blood analyte concentration is a blood glucose  
3 concentration.

1 57. The quantitative measurement instrument of claim 55,  
2 wherein said energy comprises a near-infrared energy.

1 58. The method of claim 55, wherein the step of obtaining  
2 said energy absorption measurement comprises the step of using a  
3 mid-infrared optical absorption measurement.

1 59. The method of claim 55, wherein the step of obtaining  
2 said energy absorption measurement comprises the step of using a  
3 far-infrared optical absorption measurement.

1 60. The quantitative measurement instrument of claim 55,  
2 wherein said energy comprises a radio-frequency energy.



1           61. The method of claim 55, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       dermal patch.

1           62. The method of claim 55, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       interstitial fluid.

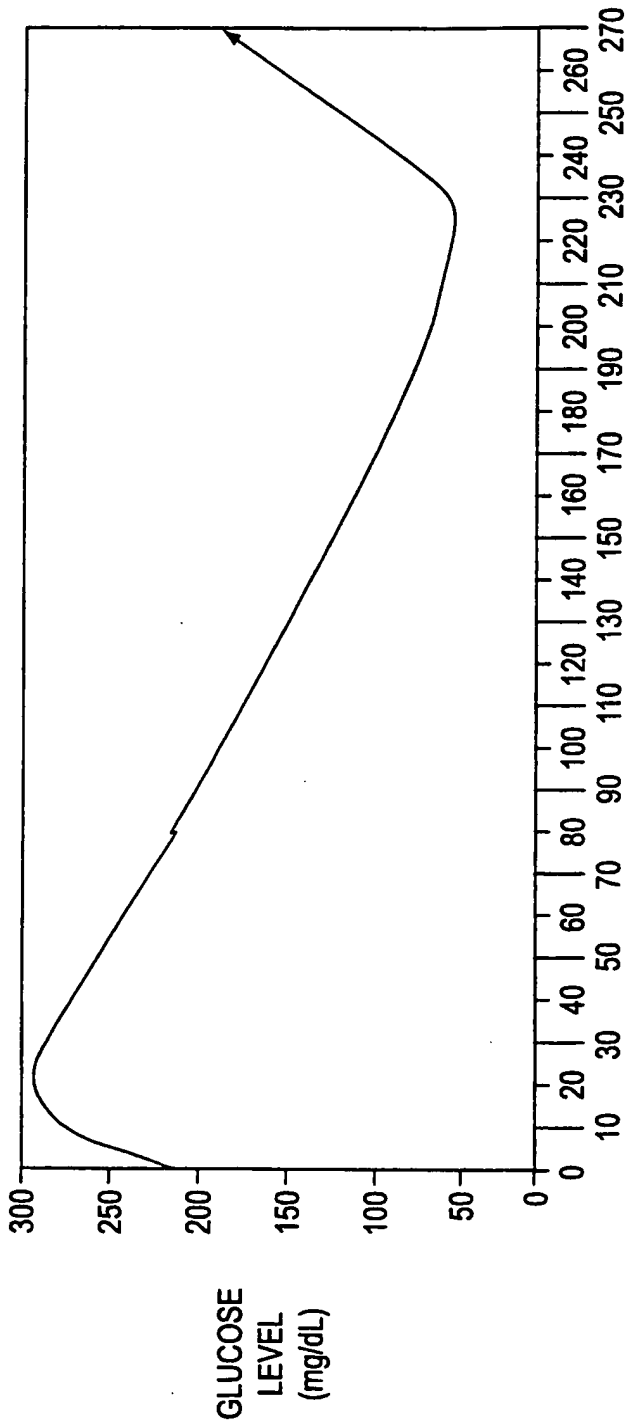
1           63. The method of claim 55, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using  
3       chemical iontophoresis.

1           64. The method of claim 55, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using  
3       heat iontophoresis.

1           65. The method of claim 55, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using  
3       electrical iontophoresis.

1           66. The method of claim 55, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       subcutaneous biosensor.

1           67. The method of claim 55, wherein the step of obtaining  
2       said energy absorption measurement comprises the step of using a  
3       measurement from a capillary in an eye.



TIME FROM START OF TEST (minutes)

FIG. 1

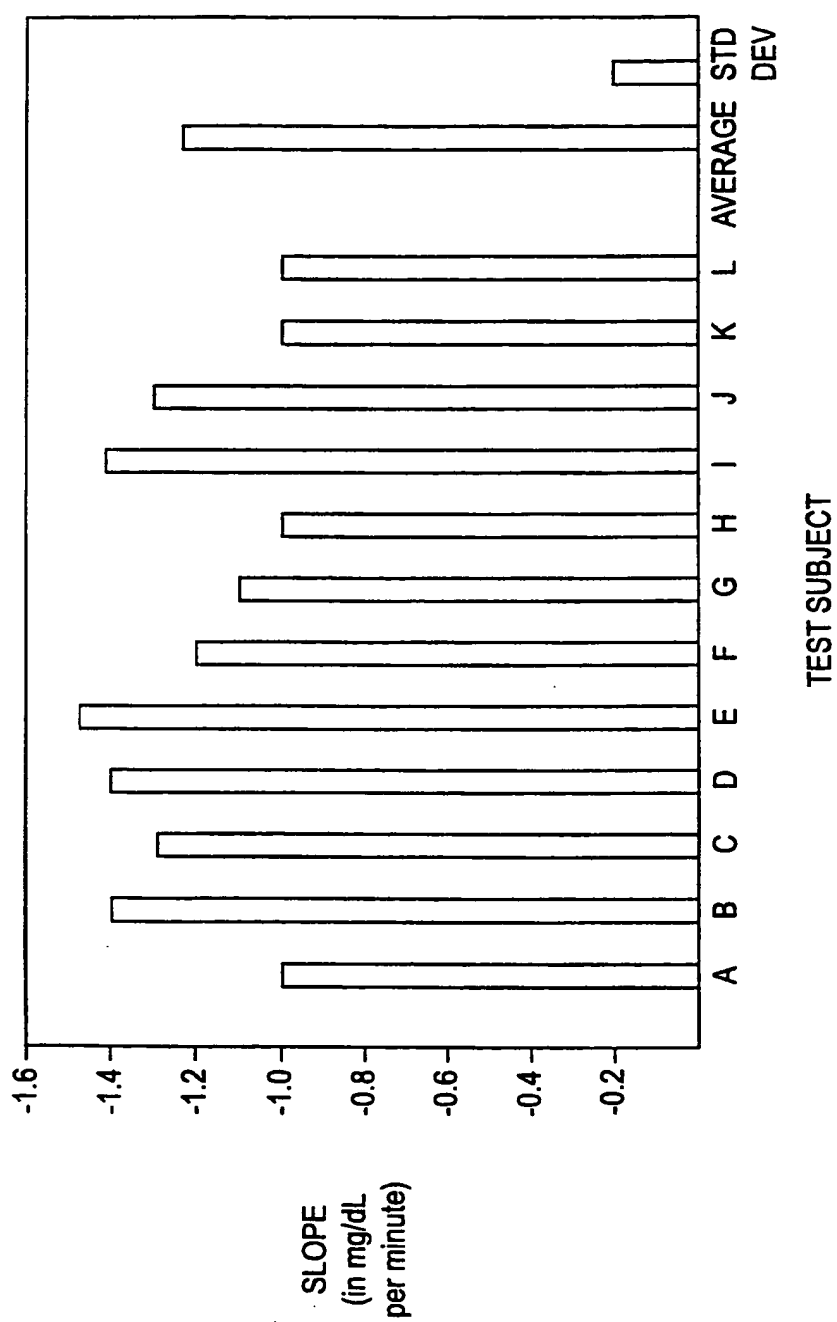


FIG. 2

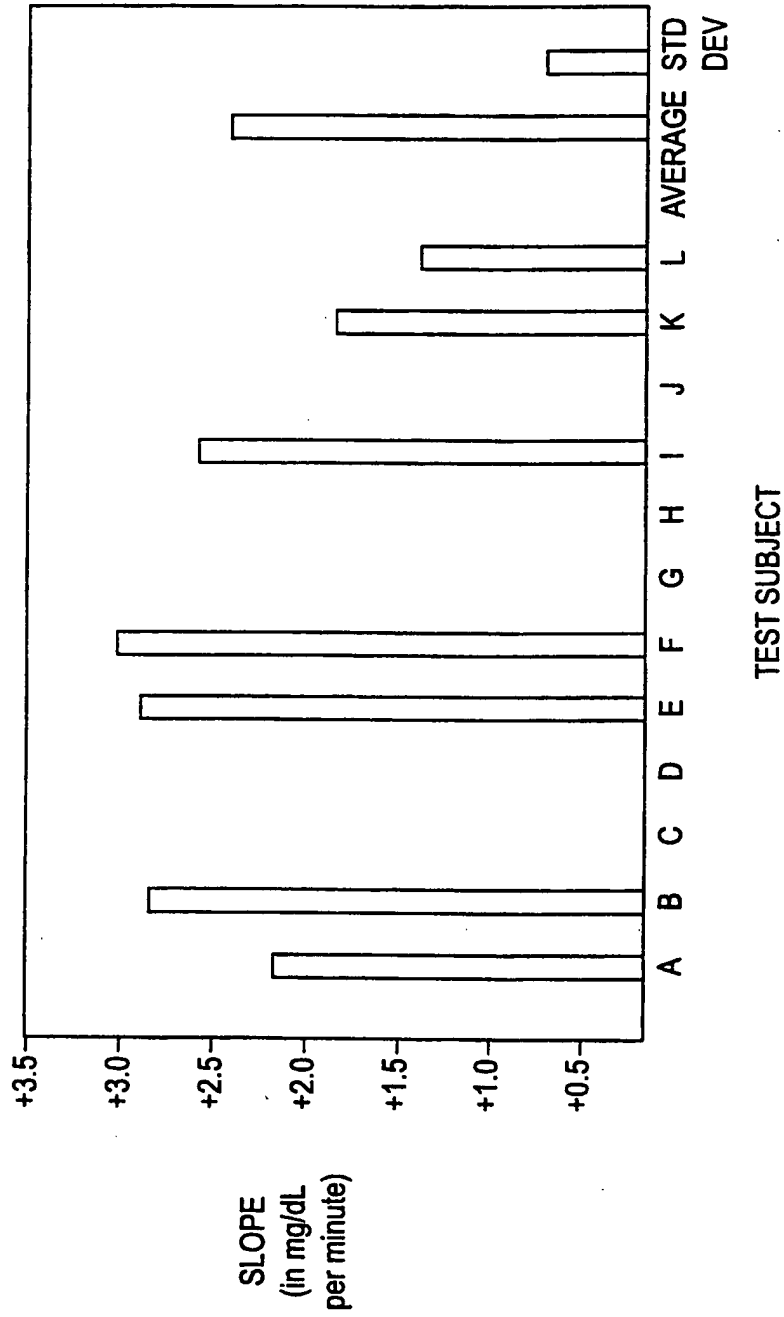


FIG. 3

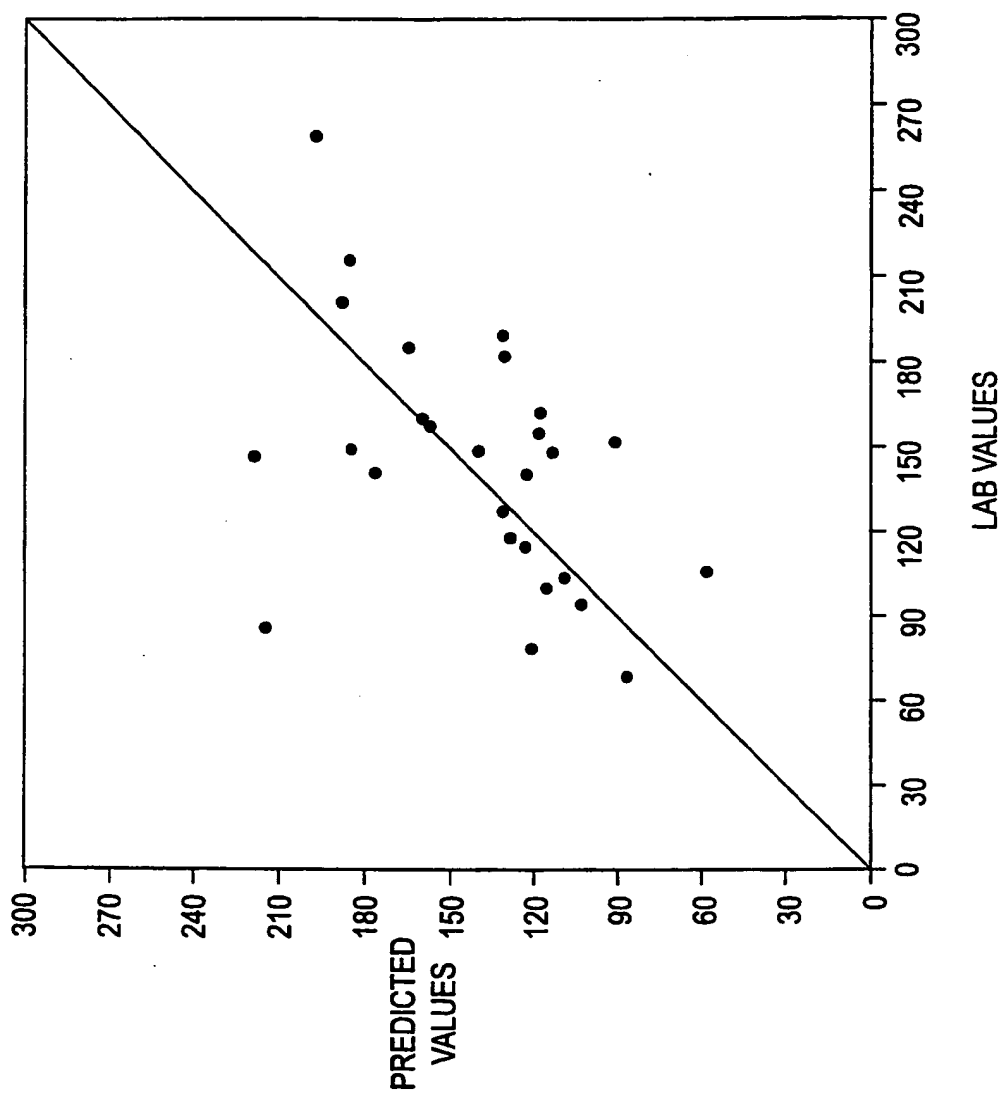


FIG. 4

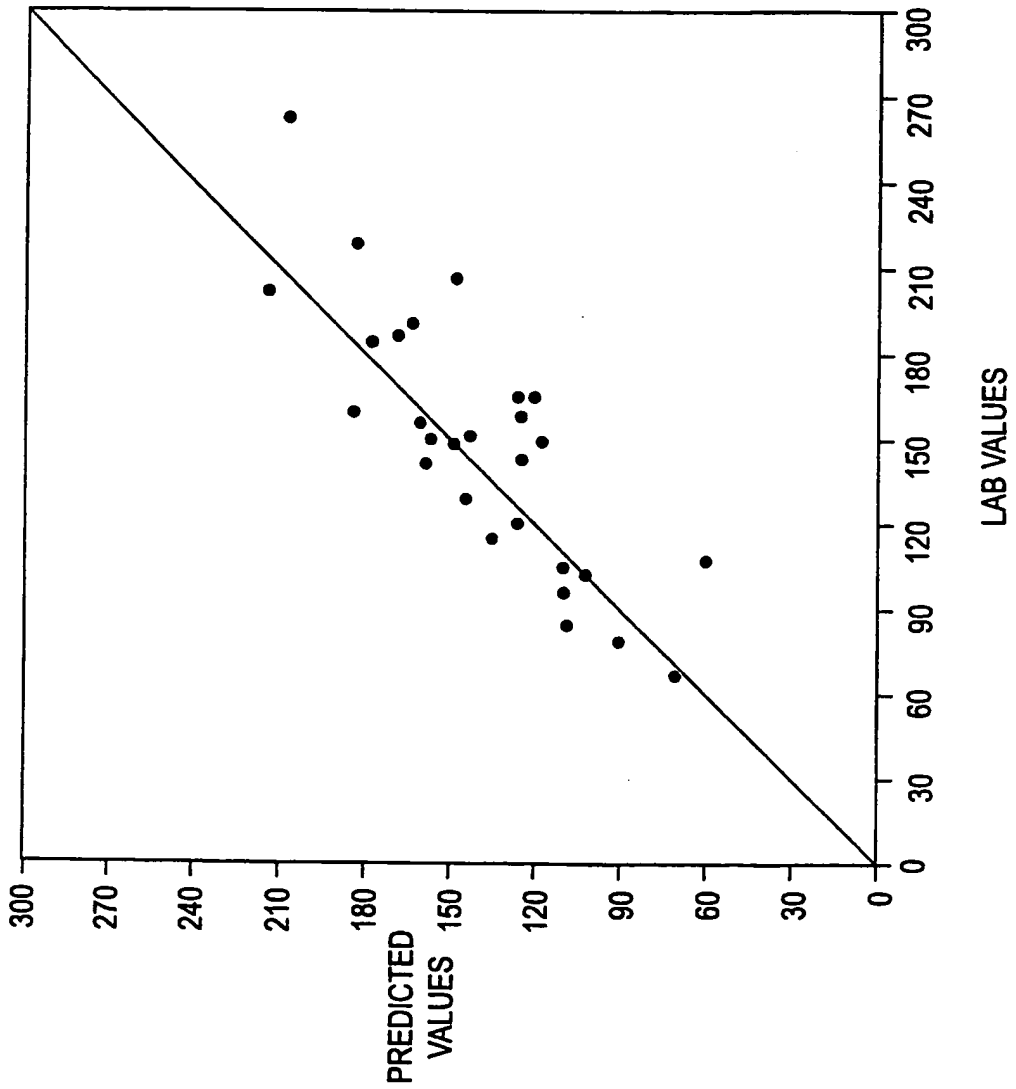


FIG. 5

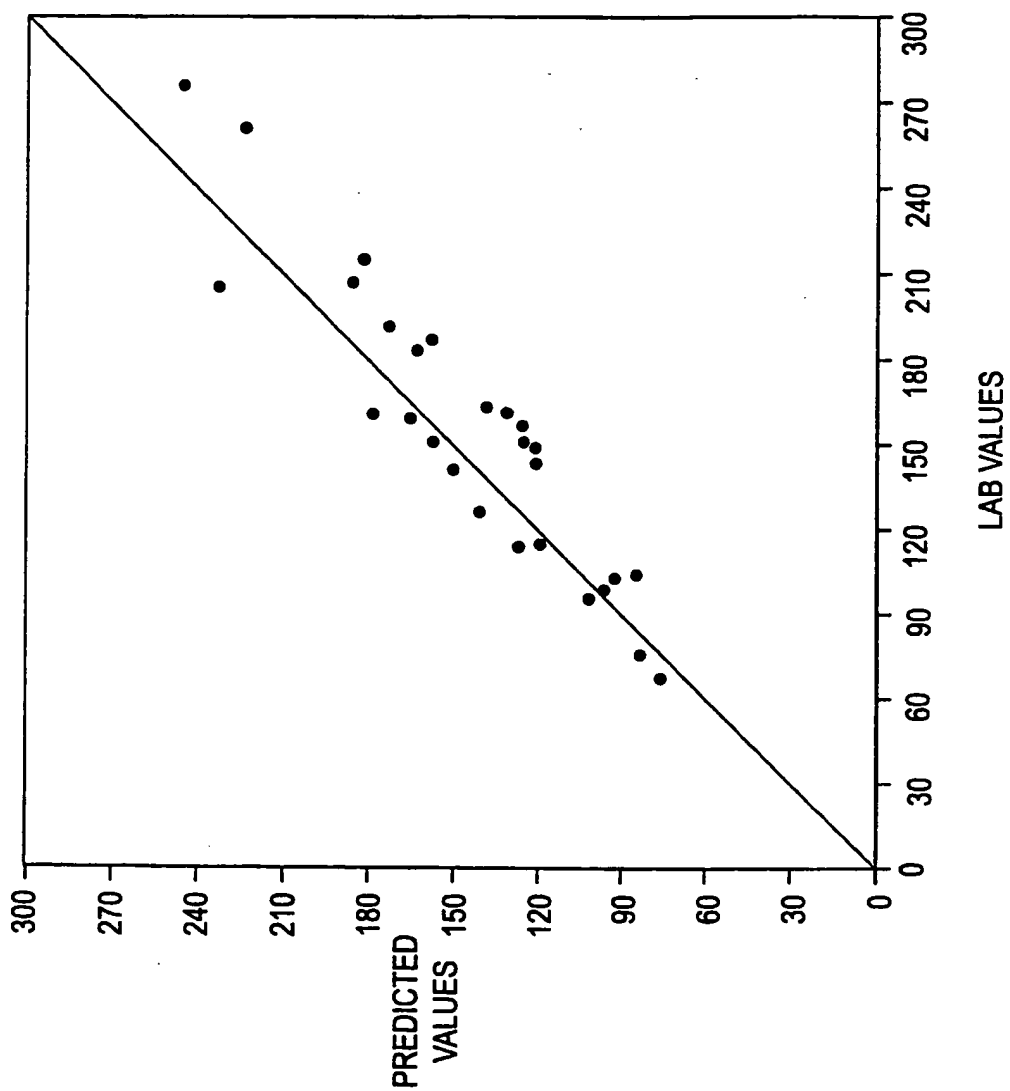


FIG. 6



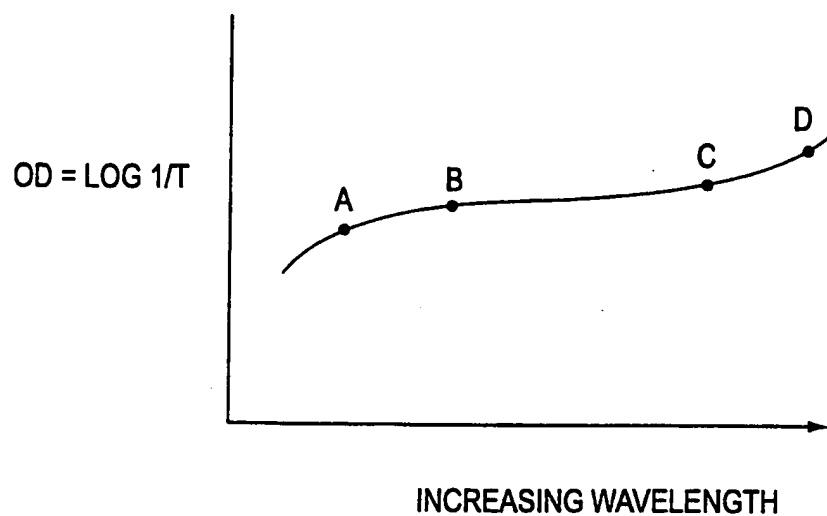


FIG. 7A

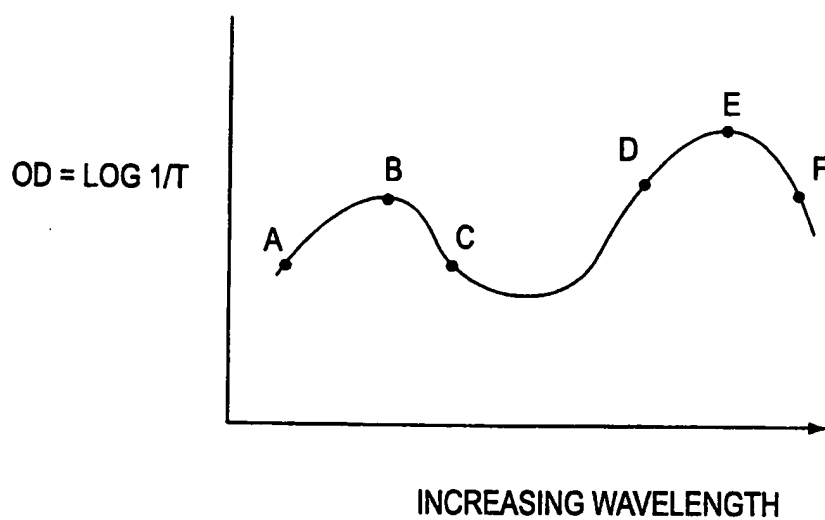
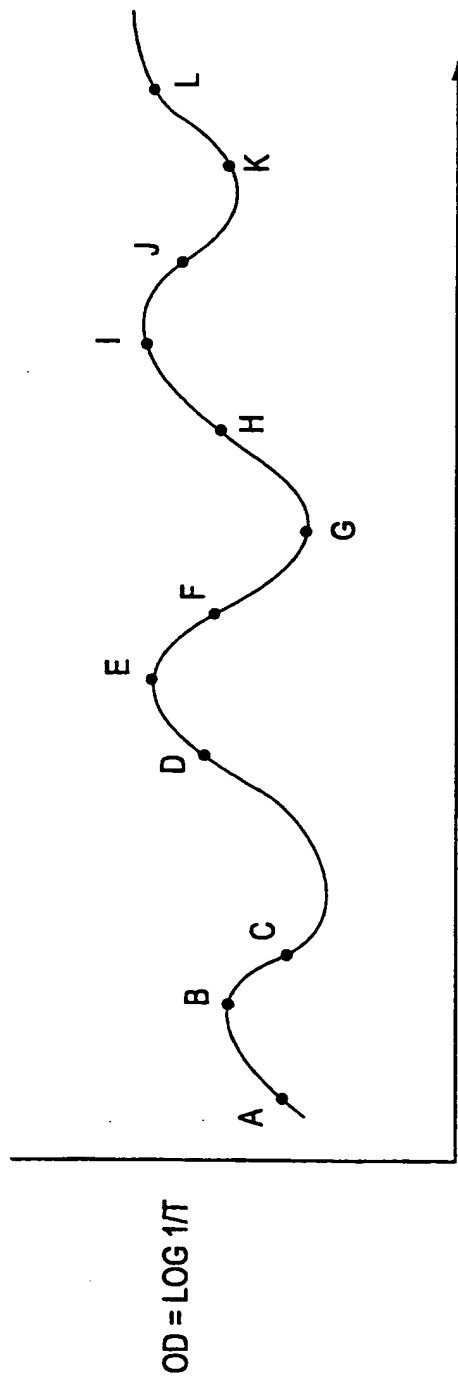


FIG. 7B



INCREASING WAVELENGTH

FIG. 7C

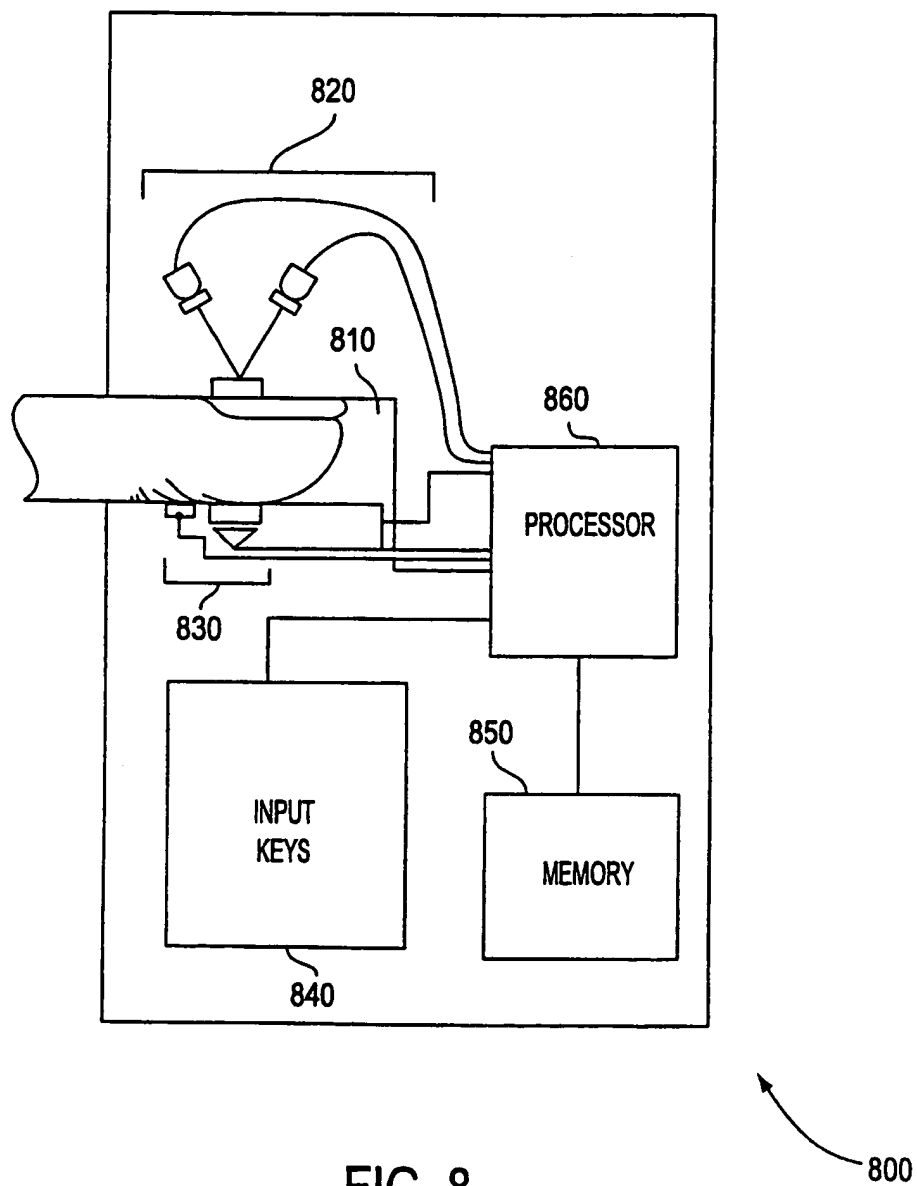


FIG. 8

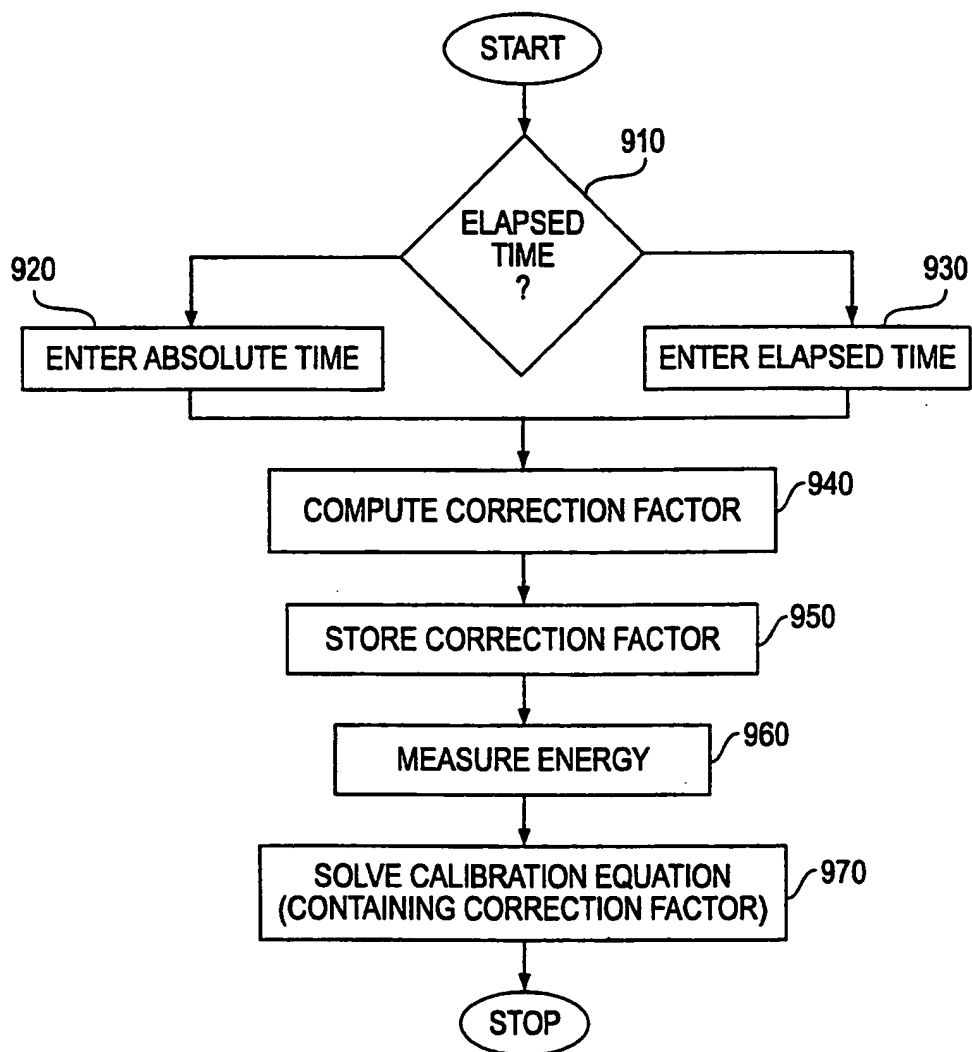


FIG. 9

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/09571

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61B 5/00

US CL : 600/322

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 128/920, 921; 356/39; 600/310, 316, 318, 319, 322, 365

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,P	US 5,840,020 A (HEINONEN et al) 24 November 1998, col. 3 line 59 to col. 6 line 53.	1-3, 6, 14-20, 23, 31-42, 45, 53-57, 60

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

30 JUNE 1999

Date of mailing of the international search report

19 AUG 1999

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

ERIC F. WINAKUR

Telephone No. (703) 308-3940

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/09571

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: 4, 5, 7-13, 21, 22, 24-30, 43, 44, 46-52, 58, 59, 61-67  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
  
The claimed subject matter is not taught by the specification, and therefore the claims are not enabled.
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.